

U.S. COAST GUARD
 AUXILIARY
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 DSO-LP FORM
 RFI INDEX
 This is a fillable form

U.S. COAST GUARD AUXILIARY

**INDEX FOR AUXILIARY PRELIMINARY
 INVESTIGATION PROCESS**

The Auxiliary disciplinary process is codified in COMDTINST M16790.1G Auxiliary Manual, Chapter 3, Sections F, G H and I. This INDEX serves as a guide and timetable for the process.

DSO-LP ASSIGNED CASE NO: _____ **SUBJECT AUXILIARIST:** _____

ITEM	FORM	TITLE	DATE DUE	DATE FILED
1	RFI 1	REQUEST FOR PRELIMINARY INVESTIGATION filed with the Auxiliary Leader or Staff Officer.		
2	RFI 2	APPOINTMENT OF PRELIMINARY INVESTIGATING OFFICER by the Auxiliary Leader/ Convening Authority		
3	RFI 3	SUMMARY DENIAL OF REQUEST FOR PIO by the Convening Authority to Requesting Authority		
4	RFI 4	NOTICE OF REQUEST FOR PRELIMINARY INVESTIGATION to the Subject Auxiliarist		
5		WRITTEN ENDORSEMENT OF PIO by the PIO to the Convening Authority.		
6		PRELIMINARY INVESTIGATION REPORT by the PIO to the Convening Authority		
7		CONSULTATION by Convening Authority with the DSO-LP		
8		DETERMINATION by Convening Authority that NO DISCIPLINE WARRANTED.		
9		RECOMMENDATION by Convening Authority of INFORMAL DISCIPLINE.		
10		RECOMMENDATION by Convening Authority of FORMAL DISCIPLINE WARRANTED.		
11		DETERMINATION of DISCIPLINE by CDO and DIRAUX		
12		NOTICE OF APPEAL from DCO – DIRAUX DETERMINATION by the Subject Auxiliarist.		

DSO-LP WILL MAINTAIN THE CASE DOCKET AND ACT AS FILING CLERK. ITEMS 1-10 SHOULD BE FILED WITH DSO-LP WHO WILL BE RESPONSIBLE FOR FORWARDING THE ITEM TO THE APPROPRIATE PERSONNEL.