

U.S. COAST GUARD
AUXILIARY
DISTRICT 7
DSO-LP FORM
RFI 3
This is a fillable form

U.S. COAST GUARD AUXILIARY

SUMMARY DENIAL OF REQUEST FOR PRELIMINARY INVESTIGATION

ON [1] _____ I RECEIVED A REQUEST FOR PRELIMINARY
INVESTIGATION FILED BY AUXILIARIST [2] _____ AUXID [3] _____.

**NOTE: DECISION MUST BE ISSUED 7 DAYS FROM THE DATE THE REQUEST FOR PRELIMINARY INVESTIGATION
WAS RECEIVED.**

THEREAFTER I CONFERRED WITH THE DSO-LP AND TOGETHER WE REVIEWED THE EVIDENCE SUBMITTED
IN SUPPORT OF THE REQUEST AND THE RELEVANT PROVISIONS OF COMDTINST M16790.1G Auxiliary Manual.

BASED UPON MY REVIEW OF THE REQUEST FOR PRELIMINARY INVESTIGATION AND EVIDENCE
SUBMITTED IN SUPPORT THEREOF, AND AFTER HAVING CONFERRING WITH THE DSO-LP, IT IS MY OPINION
THAT THE ***REQUEST SHOULD BE AND IT IS HEREDY DENIED.***

BASIS FOR DENIAL

4. INSUFFICIENT EVIDENCE

5. NO VIOLATION OF THE AUXILIARY MANUAL OR OTHER RULE OR REGULATION.

6. MINOR INFRACTION NOT WARRANTING A PRELIMINARY INVESTIGATION.

7. FRIVOLOUS COMPLAINT

8. OTHER:

DATE: _____

AUXILIARY LEADER

**SEND SIGNED FORM TO DSO-LP WHO WILL ACT AS
FILING CLERK AND FORWARD COPIES TO ALL OF THE
APPROPRIATE PERSONNEL.**

INSTRUCTIONS

Summary Denial is used when the information contained in the Request for Preliminary Investigation reveals **on its face** that a preliminary investigation is not warranted.

Send the signed form to the DSO-LP who will act as the filing clerk and forward copies to all of the appropriate personnel.

In this situation it is not necessary to proceed to notify the subject of the complaint and request a written response. The complaint is summarily disposed of in the subject's favor. The subject is notified after the fact that a complaint was filed and summarily denied.

1.	Date the Request for Preliminary Investigation was Received
2.	Name of the Auxiliarist requesting the Investigation.
3.	AUXID of the Auxiliarist requesting the Investigation.
4.	Basis for denial: Insufficient Evidence
5.	Basis for denial: No violation of the Auxiliary Manual or other regulation.
6.	Basis for denial: Minor infraction not no preliminary investigation needed.
7.	Basis for denial: Frivolous complaint.
8.	Basis for denial: Other- please describe in detail.